





PTO/SB/08A (08-03)

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/650,171
				Filing Date	August 27, 2003
				First Named Inventor	Yakir, Rony
				Art Unit	2171
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	021154-000710US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Examiner Signature		Date Considered	3/2/06
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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			Application Number	10/650,171	
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			First Named Inventor	Yakir, Rony	
			Art Unit	2171	
			Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	021154-000710US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CR	AQ	HSM For NetWare- White Paper: A Guide to Hierarchical Storage Management from <a href="http://support.ca.com/techbases/hsm/HSMWhitePaper.html">http://support.ca.com/techbases/hsm/HSMWhitePaper.html</a> visited on 01/10/2003.	
	AR	Microsoft Corporation, Microsoft Windows 2000 Server white paper, Enterprise Class Storage in Windows 2000, 1999, pgs. 11, 19, 20.	
	AS	The PC Guide, May 11, 2001, <a href="http://web.archive.org/web/20010511060256/http://www.pcguides.com/ref/hdd/file/ntfs/sec_Res.htm">http://web.archive.org/web/20010511060256/http://www.pcguides.com/ref/hdd/file/ntfs/sec_Res.htm</a>	
	AT	Powers, S. et al. (July 1999). "Complementing AS/400 Storage Management Using Hierarchical Storage Management APIs, A Practical Approach to AS/400 Storage Management," International Technical Support Organization; from <a href="http://www.redbooks.ibm.com/pubs/pdfs/redbooks/sg244450.pdf">http://www.redbooks.ibm.com/pubs/pdfs/redbooks/sg244450.pdf</a> visited on 01/10/2003	
	AU	SafeCapacity™ User's Manual Version 2.14 (01/01/2002) Solution-Soft, Santa Clara, CA 48 pages total.	
	AV	SIYAN, K.S., "Windows 2000 Server Professional Reference, July 10, 2000, ISBN 0-7357-0952-1," Implementing File System Security Using File Permissions, chapter 9.	

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **3**

Complete If Known

Application Number	10/650,171
Filing Date	August 27, 2003
First Named Inventor	Yakir, Rony
Art Unit	2171
Examiner Name	Popovici, D.
Attorney Docket Number	021154-000710US

**U.S. PATENT DOCUMENTS+**



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	AA	US-6,519,637	02-11-2003	Arkeketa, et al.	
	AB	US-6,493,756	12-10-2002	O'Brien, et al.	
	AC	US-6,460,070	10-01-2002	Turek, et al.	
	AD	US-6,453,325	09-17-2002	Cabrera et al.	
	AE	US-6,449,731	09-10-2002	Frey, Jr.	
	AF	US-6,415,280	07-02-2002	Farber et al.	
	AG	US-6,404,925	06-11-2002	Footo et al.	
	AH	US-6,339,793	01-15-2002	Bostian et al.	
	AI	US-6,330,610	12-11-2001	Doctor et al.	
	AJ	US-6,023,709	02-08-2000	Anglin et al.	
	AK	US-5,991,753	11-23-1999	Wilde	
	AL	US-5,983,318	11-09-1999	Willson et al.	
	AM	US-5,873,103	02-16-1999	Trede et al.	
	AN	US-5,819,296	10-06-1998	Anderson et al.	
	AO	US-5,708,806	01-13-1998	DeRose et al.	
	AP	US-5,471,677	11-28-1995	Imanaka	
	AQ	US-5,367,698	11-22-1994	Webber et al.	
	AR	US-5,333,315	07-26-1994	Saether et al.	
	AS	US-5,276,867	01-04-1994	Kenley et al.	
	AT	US-5,063,523	11-05-1991	Milan J. Vrenjak	
	AU	US-5,053,948	10-01-1991	DeClute et al.	
	AV	US-2005/0033757	02-10-2005	Greenblatt et al.	
	AW	US-2005/0021566	01-27-2005	Mu	
	AX	US-2005/0015409	01-20-2005	Wen et al.	
	AY	US-2004/0163029	08-19-2004	Foley et al.	
	AZ	US-2004/0083202	04-29-2004	Mu et al.	
	BA	US-2004/0054656	03-18-2004	Leung et al.	
	BB	US-2004/0039891	02-26-2004	Leung et al.	
	BC	US-2003/0115204	06-19-2003	Greenblatt et al.	
	BD	US-2003/0046313	03-06-2003	Leung et al.	
	BE	US-2003/0046270	03-06-2003	Leung et al.	
	BF	US-2002/0174139	11-21-2002	Midgley et al.	
	BG	US-2002/0138251	09-26-2002	Geary	
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	BI	US-2001/0034795	10-25-2001	Moulton et al.	

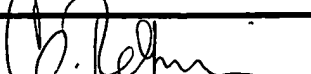
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3/3/06

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				Filing Date	August 27, 2003
				First Named Inventor	Yakir, Rony
				Art Unit	2171
				Examiner Name	Popovici, D.
Sheet	2	of	3	Attorney Docket Number	021154-000710US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/650,171	
			Filing Date	August 27, 2003	
			First Named Inventor	Yakir, Rony	
			Art Unit	2171	
			Examiner Name	Popovici, D.	
Sheet	3	of	3	Attorney Docket Number	021154-000710US

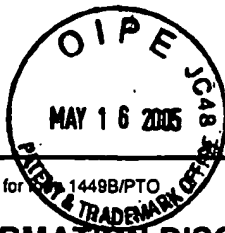
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BU	BHATTACHARYA et al., "Coordinating Backup/Recovery and Data Consistency Between Database and File Systems," <i>Procs. 2002 ACM SIGMOD Int. Conf. on Management of Data</i> , pgs. 500-511 (2002).	
	BV	WELCH et al., "A Resilient Application-Level Failure Detection System for Distributed Computing Environments," <i>Computers and Communications</i> (1995) IEEE Symposium on 27-29 Jun 1995-96.	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/650,171	
			Filing Date	August 27, 2003	
			First Named Inventor	Yakir, Rony	
			Art Unit	2165	
			Examiner Name	Popovici, D.	
Sheet	2	of	2	Attorney Docket Number	021154-000710US

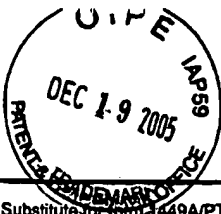
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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
cen	AA	MOORE et al., "Policy Core Information Model Extensions," Internet Draft, DRAFT-IETF-POLICY-PCIM-EXT-06.TXT, 11/8/01, pages 1-85 downloaded from <a href="http://www.watersprings.org/pub/id/draft-ietf-policy-pcim-ext-06.txt">http://www.watersprings.org/pub/id/draft-ietf-policy-pcim-ext-06.txt</a> on 2/2/05.	
	AB	STRASSNER et al., "Policy Framework Definition Language," Internet Draft, DRAFT-IETF-POLICY-FRAMEWORK-PFDL-00.TXT, 11/17/98, pages 1-18 downloaded from <a href="http://www.watersprings.org/pub/id/draft-ietf-policy-framework-pfdl-00.txt">http://www.watersprings.org/pub/id/draft-ietf-policy-framework-pfdl-00.txt</a> on 3/2/05.	
	AC	WATERS et al., "Policy Framework Architecture," Internet Draft, DRAFT-IETF-POLICY-ARCH-00.TXT, 2/26/99, pages 1-18 downloaded from <a href="http://www.watersprings.org/pub/id/draft-ietf-policy-arch-00.txt">http://www.watersprings.org/pub/id/draft-ietf-policy-arch-00.txt</a> on 3/2/05.	
	AD	WOLFSON et al., "Managing Communication Networks by Monitoring Databases," <u>IEEE Trans. Software Engineering</u> , 17(9):944-953 (1991).	

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PTO/SB/08A (08-03)

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		Application Number	10/650,171		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Filing Date	August 27, 2003		
		First Named Inventor	Yakir, Rony		
		Art Unit	2165		
		Examiner Name	Diane D. Mizrahi		
Sheet	1	of	2	Attorney Docket Number	021154-000710US

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		Number	Kind Code <sup>2</sup> (if known)			
	AA	US-6,941,560		09/06/2005	Lowry et al.	
	AB	US-6,915,338		07/05/2005	Hunt et al.	
	AC	US-6,839,766		01/04/2005	Parnafes et al.	
	AD	US-6,678,248		01/13/2004	Haddock et al.	
	AE	US-6,662,235		12/09/2003	Callis et al.	
	AF	US-6,654,864		11/25/2003	Shaath et al.	
	AG	US-6,466,952		10/15/2002	Hanes et al.	
	AH	US-6,438,642		08/20/2002	Shaath	
	AI	US-6,370,545		04/09/2002	Shaath	
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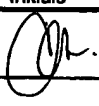
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				First Named Inventor	Yakir, Rony
				Art Unit	2165
				Examiner Name	Diane D. Mizrahi
Sheet	2	of	2	Attorney Docket Number	021154-000710US

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	BB	SUN (Sun Microsystems, Inc., "Solstice Backup™ 5.5, Administration Guide", Part No. 806-1135-10, September 1999, Revision A), pg. 1-2, 140-141.	
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